



**EPSILON MONEY
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DISCLOSURE DOCUMENT

The particulars given in this Disclosure Document have been prepared in accordance with SEBI (Investment Advisers) Regulations, 2013.

The purpose of the Document is to provide essential information about the Investment Advisory Services in a manner to assist and enable the prospective client/client in making an informed decision for engaging Investment Advisor before investing.

For the purpose of this Disclosure Document, Investment Adviser is “Epsilon Money Investment Management Private Limited” (Formerly known as Multi Ark Wealth Private Limited) a Company registered with the Securities and Exchange Board of India as an Investment Advisor under SEBI (Investment Advisers) Regulations, 2013 vide registration no. INA000018504 dated 19th October 2023 having its registered office at Unit No.101,1st Floor, Simba Towers, CTS No.67-A/1, Village Dindoshi, Goregaon, Mumbai-400063, India.

A. Descriptions about Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited)

1. History, Present business and Background:

In the capacity as advisers Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited) aligns its interests with those of the client and seeks to provide the best suited advice based on client's risk profile. Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited) first tries to understand the client's return expectations, risk-taking ability & goals, which in turn helps to arrive at an asset allocation suitable for the client.

2. Terms & conditions for advisory services:

Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited) will provide Advisory Services which shall be in the nature of investment advice, and may include buying and selling the securities for an agreed fee structure and which may be for a definite period of time and which may vary / change from time to time, entirely at the Client's risk. The detailed terms and conditions are as per the agreement executed between client and Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited).



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3. Disciplinary history

No action has been taken against the Individual or the Firm as an Investment Adviser by any regulator.

4. Investment Advisory Audits

“Disclosure with respect to adherence to the annual compliance audit requirements under Regulation 19(3) of the SECURITIES AND EXCHANGE BOARD OF INDIA (INVESTMENT ADVISERS) REGULATIONS, 2013: The company was granted the Investment Adviser license in October 2023. Since then, the company has been conducting the annual compliance audit each year within the stipulated timeframe as prescribed under the applicable regulations.”

5. Affiliations with other intermediaries

No other affiliations or intermediaries have been registered.

- B. Disclosures with respect to receipt of any consideration by way of remuneration or compensation or in any other form whatsoever, received or receivable by **Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited)** or any of its associates or subsidiaries for any distribution or execution services in respect of the products or securities for which the investment advice is provided to the client **Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited)** do not have any distribution or execution arrangement with the issuers of the securities, that **Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited)** advises only.
- C. Disclosure of consideration by way of remuneration or compensation or in any form whatsoever with respect to recommending the services of a stockbroker or other intermediary to a client Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited) does not recommend services of any stockbroker or intermediary to a client. Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited) does not have any commission sharing agreement with any intermediary for recommending the services either as a stockbroker or as other intermediary.
- D. Disclosures with respect to **Epsilon Money Investment Management Private Limited's (Formerly known as Multi Ark Wealth Private Limited)** own holding position in financial products / securities Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited) is not registered as a broker with SEBI, it is not actively engaged into any proprietary trading. It might invest in financial products / securities in the future.



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E. Actual or potential conflicts of interest arising from any connection to or association with any issuer of products/ securities, including any material information or facts that might compromise its objectivity or independence in the carrying on of investment advisory services:

Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited) is a Company which has an independent activity of providing the Investment Advisory services. Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited) does not provide any other services in stock broking, depository, research, portfolio management and distribution of mutual funds and third-party products.

F. Disclosure of all material facts relating to the key features of the products or securities, particularly, performance track record, warnings, disclaimers etc. Clients are requested to go through the detailed key features, performance track record of the product, or security including warnings, disclaimers etc. before investing as and when provided by the Investment Advisor. Such product materials may also be available to www.sebi.gov.in or www.nseindia.com or www.bseindia.com.

G. Drawing client's attention to warnings, disclaimers in documents, advertising materials relating to investment products. Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited) and the Investment Advisers of Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited) who provide the investment advice to the clients, shall draw the client's attention to the warnings, disclaimers in documents, advertising materials relating to an investment product/s which he/she/they is/are recommending to the client/s.

H. Standard Risk Factors as perceived by Investment Adviser:

1. Investments in equities, derivatives and mutual funds are subject to market risks and there is no guarantee that the objective of the investment / products will be achieved.
2. The past performance does not indicate its future performance. There is no assurance that past performances will be repeated. Investors are not being offered any guaranteed or indicative returns.
3. As with any investment in securities, the NAV of the portfolio can go up or down depending upon the factors and forces affecting the capital market.
4. The performance of the investments/products may be affected by changes in Government policies, general levels of interest rates and risks associated with trading volumes, liquidity and settlement systems in equity and debt markets.
5. Investments in the products which the Clients have opted are subject to wide range of risks which inter alia also include but not limited to economic slowdown, volatility & illiquidity of the stocks, poor corporate performance, economic policies, changes of Government and its policies, acts of God, acts of war, civil disturbance, sovereign action and /or such other acts/ circumstance beyond the control of Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited).



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Private Limited) or any of its fellow subsidiaries.

Epsilon Money Investment Management Private Limited

Formerly known as Multi Ark Wealth Private Limited

Registered Office Address: Unit No. 101, 1st Floor, Simba Towers, CTS no. 67-A/1, Goregaon, Mumbai - 400063

CIN Number : U67100MH2022PTC377409, **SEBI IA Reg No.** INA000018504



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6. The names of the products/nature of investments do not in any manner indicate their prospects or returns. The performance in the equity products may be adversely affected by the performance of individual companies, changes in the market place and industry specific and macro-economic factors.
7. Investments in debt instruments and other fixed income securities are subject to default risk, liquidity risk and interest rate risk. Interest rate risk results from changes in demand and supply for money and other macroeconomic factors and create price changes in the value of the debt instruments. Consequently, the NAV of the portfolio may be subject to fluctuation.
8. Investments in debt instruments are subject to reinvestment risks as interest rates prevailing on interest amount or maturity due dates may differ from the original coupon of the bond, which might result in the proceeds being invested at a lower rate.
9. The product may invest in non-publicly offered debt securities and unlisted equities. This may expose the investment/product to liquidity risks.
10. Engaging in securities lending is subject to risks related to fluctuations in collateral value/settlement/liquidity/counter party.
11. The product may use derivatives instruments like index futures, stock futures and options contracts, warrants, convertible securities, swap agreements or any other derivative instruments. Usage of derivatives will expose portfolio to certain risks inherent to such derivatives.
12. The use of derivative requires a high degree of skill, diligence and expertise. Thus, derivatives are highly leveraged instruments. Small price movement in the underlying security could have a large impact on their value. Other risks in using derivatives include the risk of mis-pricing or improper valuation of derivatives and the inability of derivatives to correlate perfectly with underlying assets, rates and indices.
13. The NAV may be affected by changes in settlement periods and transfer procedures.
14. The Investment Advisor may, considering the overall level of risk of the portfolio, advice for investment in lower rated/unrated securities offering higher yield. This may increase the risk of the portfolio. Such investments shall be subject to the scope of investments as laid down in the Agreement.



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General Risks:

We trust that, before executing on the advice of the Investment Adviser, our Relationship Manager at Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited) has provided you with all the information about the products, risk factors etc. and you have gone through all the relevant information about the product being advised and have sought requisite clarification about the same.

Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited) shall maintain complete confidentiality of all information provided by the client/s and shall not disclose any such information, without your prior consent except if such disclosure is required to be made in compliance with any applicable law or regulatory direction. Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited) will obtain information pertaining to your orders/transactions/portfolio/funds availability/securities availability etc. from the individual Investment Adviser to enable us to provide you with informed and appropriate advice.

INVESTORS SERVICES:

The details of Principal Officer and Person Associated with IA who shall attend to the investor queries and complaints are mentioned below:

Name of the person: Siddharth Alok
Designation: Principal Officer
Email: siddharth@epsilonmoneyim.com

In case of any complaint the investors may reach to:

Name of the person: Nirmal Chaudhari
Designation: Person Associated with IA (PAI)
Email: nirmal@epsilonmoneyim.com & support@epsilonmoneyim.com.

**For Epsilon Money Investment Management Private Limited
(Formerly known as Multi Ark Wealth Private Limited)**



Mr. Siddharth Alok
Principal Officer



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Investment Advisory Details

Name of the Company: Epsilon Money Investment Management Private Limited (Formerly known as Multi Ark Wealth Private Limited)

Corporate Identification Number (CIN): U67100MH2022PTC377409

Registered office: Unit No.101, 01st Floor, Simba Towers, CTS No.67-A/1, Village Dindoshi, Goregaon Mumbai- 400063

SEBI Registration No. for Investment Advisor: INA000018504

Type of Registration: Non-Individual

Date of Registration: 19th October 2023

BSE Enlistment No: 2082

Name of compliance officer: Diksha Vaish

Telephone number of compliance officer: 9140073211

Email Id of Compliance Officer: diksha@epsilonmoneyim.com

Name of PAI: Nirmal Chaudhari

Telephone number of PAI: 02250054266

Name of Principal Officer: Siddharth Alok

Telephone number of Principal Officers: 7870597912

Email Id of Principal Officer: siddharth@epsilonmoneyim.com

Investor Compliant Id: nirmal@epsilonmoneyim.com & support@epsilonmoneyim.com

Corresponding SEBI office address: SEBI Bhavan, "G" Block, Bandra Kurla Complex, Mumbai- 400 051

DISCLAIMER: "Registration granted by the SEBI, Membership of BASL and certification from NISM in no way guarantee performance of the intermediary or provide any assurance of returns to investors" Reference circulars: SEBI Circular no. SEBI/HO/MIRSD/ MIRSD-PoD-2/P/CIR/2023/52 April 6, 2023.